

Our Docket No: 42390P9658

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Zhang, et al.	)	Examiner: Not yet assigned
	)	
Application No: 10/019,879	)	Art Unit: Not yet assigned
	)	
Filed: September 28, 2000	)	Confirmation No.: 8076
	)	
For: A METHOD AND APPARATUS FOR	)	
EXTRACTING ENTITY NAMES AND	)	
THEIR RELATIONS	)	
	)	

**ADDENDUM TO:**

**PETITION FOR REVIVAL OF AN APPLICATION AND  
PETITION UNDER 37 CFR §1.47(a)**

Mail Stop: PETITION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir or Madam:

The Applicant hereby requests granting of the concurrently filed Petition for Revival of Application and Petition under 37 CFR §1.47(a), and makes the following remarks in support thereof.

**CERTIFICATE OF MAILING/TRANSMISSION**

I hereby certify that this correspondence is being submitted electronically via EFS Web prior to 11:59 PM on the date shown below.

/Gayle Bekish/

8/25/2008

Name

Date

## **REMARKS**

The Applicant respectfully granting of the concurrently filed Petition for Revival of Application and Petition under 37 CFR §1.47(a).

This application has been the subject of a series of petitions, which are explained here.

If anything more is required, Applicant requests that we be notified directly as soon as possible so that this can be provided, and so that this application can go forward without any further delay.

### **History of this Matter**

(1) **Filing of Application** – The present application was filed with the Patent Office on December 27, 2001. The application was nationalized from International Application No. PCT/CN00/00293, filed September 28, 2000.

(2) **Missing Parts** – On May 1, 2003 a Notification of Missing Requirements was mailed in this matter. A copy of the Notification is attached hereto as Exhibit A.

(3) **Response to Notification** – On November 3, 2003, the Applicant submitted a response to the Notification, which is attached hereto as Exhibit B. The response included a Response to Notice to File Missing Parts of Application, the declaration of inventor Yimin Zhang, and a petition of Judy Steinkraus regarding diligent efforts to contact inventor Joe F. Zhou. (Note: To minimize the number of document copies, the attachments to the documents mentioned here are not provided again.)

(4) **Decision on Petition** – On January 29, 2004, the Office of PCT Legal Administration issued a Decision on Petition, in which the Office determined that an acceptable showing that a diligent effort was made to locate the non-signing inventor Joe

F. Zhou had not been made. A copy of the Decision on Petition is attached hereto as Exhibit C.

(5) **Notification of Abandonment** – A Notification of Abandonment was issued on October 18, 2004. A copy of the notification is attached hereto as Exhibit D.

(6) **Petition for Revival** – In response to the Decision on Petition and the Notice of Abandonment, a Petition for Revival was filed on January 21, 2005. Together with the Petition for Revival was filed a Petition under 37 CFR §1.47(a) requesting that the application be made on behalf of non-signing inventor Joe F. Zhou, a Response to Notification of Missing Requirements, and a Declaration of Judy L. Steinkraus that detailed additional diligent efforts to locate Joe F. Zhou. After diligent efforts, Applicant have been unable to locate inventor Joe F Zhou. A copy of the filing is attached hereto as Exhibit E.

(7) **Fees for Petitions** – The Petition for Revival and Petition under 37 CFR §1.47(a) were accompanied by a fee of \$1500.00 for the Petition for Revival and an additional \$200 fee for petitions to the Commissioner. The records of the law firm of the Applicant indicate that the checks for such fees were paid. Attached hereto as Exhibit F is the record of the commercial checking account, the record showing payment of check 70022 for \$1500.00 on February 8, 2005 and payment of check 70023 for \$200.00 on February 9, 2005. Also included in Exhibit F are copies of the cancelled checks to the Director of the United States Patent & Trademark Office. No response was received to the petitions, and it appears that the filing has not been found at the Patent Office.

(8) **Petition to Consider** – A Request for Consideration of Previously Filed Petition to Revive and Petition Under 37 CFR §1.47(a) was filed on March 12, 2008, which is attached hereto as Exhibit G.

(9) **Decision on Petition** – The Patent Office denied the Request, as shown by Decision on Petition, attached hereto as Exhibit H.

(10) **New Petitions** – Therefore, the Applicant is hereby filing new petitions for revival and acceptance of the application. Applicant again petitions for revival of the application, and that the present application be made on behalf of non-signing inventor Joe F. Zhou.

#### **Conclusion**

Applicant respectfully requests revival of the application, and requests granting of the petition and commencement of examination of the application.

**Invitation for a Telephone Interview**

The Examiner is requested to call the undersigned at (503) 439-8778 if there remains any issue with allowance of the case.

**Request for an Extension of Time**

The Applicant respectfully petitions for a one-month extension of time to respond to the outstanding matter pursuant to 37 C.F.R. § 1.136(a). Please charge any fee to our Deposit Account No. 02-2666.

**Fees – Charge our Deposit Account**

Applicant understands that all necessary fees have already been paid in this matter, the petition fees having been paid in February of 2005.

However, please charge any shortage to our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: August 25, 2008

/Mark C. Van Ness/

Mark C. Van Ness

Reg. No. 39,865

1279 Oakmead Parkway  
Sunnyvale, CA 94085-4040

(503) 439-8778

**Exhibit A**

**Notification of Missing Requirements**



# UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
Page 1 of 2

MAY 05 2003

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/019,879	FIRST NAMED APPLICANT Yimin Zhang	ATTY. DOCKET NO. 42390.P9658
---	--------------------------------------	---------------------------------

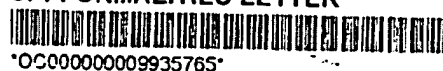
Mr John P Ward  
Blakely Sokoloff Taylor & Zafmann  
12400 Wilshire Boulevard  
7th Floor  
Los Angeles, CA 90025-1030

INTERNATIONAL APPLICATION NO. PCT/CN00/00293
I.A. FILING DATE 09/28/2000
PRIORITY DATE

**ENTERED**

MAY 07 2003

CONFIRMATION NO. 8076  
371 FORMALITIES LETTER



\*0000000009935765\*

Date Mailed: 05/01/2003

STATUS DB-LA

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/27/2001
- Copy of the International Search Report filed on 12/27/2001
- U.S. Basic National Fees filed on 12/27/2001

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

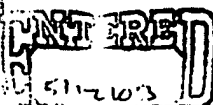
- \$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

MAY 12 2003

STANDARD DEF.



Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 305-3734

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,879	PCT/CN00/00293	42390.P9658

FORM PCT/DO/EO/905 (371 Formalities Notice)



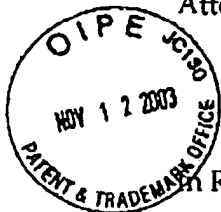
**Exhibit B**

**Response to Notification of Missing Requirements**

43

Attorney's Docket No.: 42390.P9658

Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Zhang, et al.

Examiner: Not yet assigned

Application No. 10/019,879

Art Unit: Not yet assigned

Filed: 09/28/2000

FIRST CLASS CERTIFICATE OF MAILING

For: A METHOD AND APPARATUS  
FOR EXTRACTING ENTITY NAMES  
AND THEIR RELATIONS

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail with sufficient postage in an  
envelope addressed to Mail Stop Missing Parts,  
Commissioner for Patents, P.O. Box 1450,  
Alexandria VA 22313-1450

on 11/03/2003

Date

Judy L. Steinkraus

Mail Stop Missing Parts  
Commissioner For Patents  
Alexandria VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notification of Missing Requirements mailed  
05/01/2003, please find enclosed:

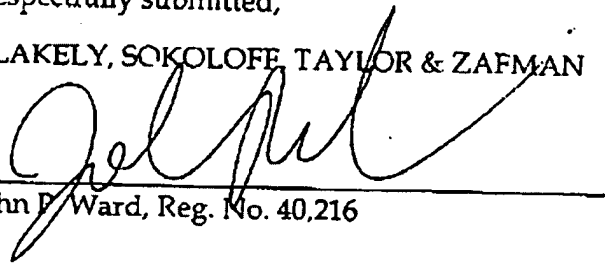
- (1) a duly executed Declaration and Power of Attorney with respect to  
the above-referenced patent application with inventor Zhang's signature;
- (2) fee transmittal providing authorization to charge deposit account 2-  
2666 the amount of \$1610.00 including payment of the surcharge of 37 C.F.R. §  
1.16(e);
- (3) a copy of the Notification of Missing Requirements;
- (4) a return receipt postcard;

- (5) a Petition for a four month extension of time under 37 C.F.R. § 1.136(a); and
- (6) fee transmittal also authorizing payment including the amount of \$1480.00 in payment of the extension of time fee
- (7) Declaration by Judy Steinkraus of attempts to reach inventor Zhou for signature and copies of documents affirming attempts.

Authorization is hereby given to charge our Deposit Account No. 02-2666 for any charges that may be due. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN



John P. Ward, Reg. No. 40,216

Date: 11/03/2003

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300, x237



## FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) 1,610.00

Complete in down:

Application No. 10/019,879

Filing Date 09/28/2000

First Named Inventor Zhang, et al.

Examiner Name Not yet assigned

Art Unit Not yet assigned

Attorney Docket No. 42390.P9658

☐ Applicant claims small entity status. See 37 CFR 1.27.

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number: 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

☒ Charge fee(s) indicated below.

☒ Credit any overpayments.

☒ Charge any additional fees during the pendency of this application.

☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

☐ Charge fee(s) indicated below except for the filing fee.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1001	770	2001	385	Utility application filing fee	
1002	340	2002	170	Design application filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional application filing fee	

SUBTOTAL (1) \$ \_\_\_\_\_

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims	_____	- 20** = _____	X _____	= _____
Independent Claims	_____	- 3** = _____	X _____	= _____
Multiple Dependent	_____		_____	= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	88	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ \_\_\_\_\_

# FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	130.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	1,480.00
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 1,610.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: John P. Ward

Signature: \_\_\_\_\_

Date: 11/03/2003

Reg. Number: 40,216

Telephone Number: (408) 720-8300 x237

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney's Document No.: 42390.P9658

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Zhang, et al.

Application No. 10/019,879

Filed: 09/28/2000

For: A METHOD AND APPARATUS  
FOR EXTRACTING ENTITY NAMES  
AND THEIR RELATIONS

Examiner: Not yet assigned

Art Unit: Not yet assigned

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents P.O. Box 1450, Alexandria VA 22313-1450

on 11/03/2003  
Date

Judy L. Steinkraus

Commissioner For Patents  
Alexandria VA 22313-1450

**PETITION FOR EXTENSION OF TIME**  
**PURSUANT TO 37 C.F.R. § 1.136 (a)**

Sir:

Applicants respectfully request a four month extension of time in which to respond to the Notification of Missing Requirements Under 35 USC 371 In the United States Designated/Elected Office mailed 05/01/2003, for which a two month response period expiring on 07/01/2003 was set. The extended period expires on 11/03/2003.

Authorization to charge deposit account 2-2666 for the amount of \$1610.00 is enclosed to include the \$1480.00 fee for a four month extension of time. If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Petition is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

John F. Ward  
Reg. No. 40,216

Dated: 11/03/2003

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300



**UNITED STATES PATENT AND TRADEMARK OFFICE**

**BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP**  
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address COMMISSIONER OF PATENTS AND TRADEMARKS  
PO Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO 10/019,879	FIRST NAMED APPLICANT Yimin Zhang	ATTY. DOCKET NO. 42390.P9658
		INTERNATIONAL APPLICATION NO. PCT/CN00/00293
		IA FILING DATE 09/28/2000
		PRIORITY DATE

Mr John P Ward  
Blakely Sokoloff Taylor & Zafmann  
12400 Wilshire Boulevard  
7th Floor  
Los Angeles, CA 90025-1030

**ENTERED**

MAY 07 2003

STATUS DB-LA

Date Mailed: 05/01/2003

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/27/2001
- Copy of the International Search Report filed on 12/27/2001
- U.S. Basic National Fees filed on 12/27/2001

The following items **MUST** be furnished within the period set forth below in order to complete the requirements acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the applicant by the International application number and International filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

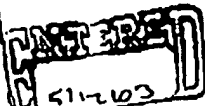
- \$130 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

MAY 12 2003

USPTO DATABASE DEPT.



Applicant is reminded that any communications to the United States Patent and Trademark Office  
to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

CHARITTA A BURT

Telephone: (703) 305-3734

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO. 10/019,879	INTERNATIONAL APPLICATION NO. PCT/CN00/00293	ATTY. DOCKET NO. 42390.P9658
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FORM PCT/DO/EO/905 (371 Formalities Notice)



05/30/03 FRI 17:03 FAX

BST&2

Patent

Attorney's Docket No.: 42390.P9658  
First Named Inventor: Zhang, et al.

Complete If Known:  
Application No.: PCT/CN00/00293  
Filing Date: 09/28/2000  
Art Unit: \_\_\_\_\_  
Examiner Name: \_\_\_\_\_

Check One:  
☐ Declaration Submitted with Initial Filing  
☒ Declaration Submitted After Initial Filing (Surcharge under 37 C.F.R. § 1.16(e) Required). OR

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION  
(FOR INTEL CORPORATION PATENT APPLICATIONS)**

As a below named inventor, I hereby declare that  
My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled A METHOD AND APPARATUS FOR EXTRACTING ENTITY NAMES AND THEIR RELATIONS, the specification of which

☒ is attached hereto.  
was filed on 09/28/2000 as  
United States Application Number  
or PCT International Application Number PCT/CN00/00293  
and was amended on (MM/DD/YYYY) \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above.

I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

(Number) \_\_\_\_\_ (Country) \_\_\_\_\_

(Foreign Filing Date -

Priority Claimed?	Certified Copy Attached?
Yes	No

INTEL CORPORATION  
Rev. 05/05/03 (D3 INTEL)

05/30/03 FRI 17:04 FAX

BST&amp;Z

MM/DD/YYYY

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the U. S. Patent and Trademark Office connected herewith.

Direct all correspondence to (check one):

☒ Customer Number or Bar Code Label 008791

OR

☒ Correspondence Address Below:

John P. Ward  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025  
Telephone: (408) 720-8300  
Fax: (408) 720-8383

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole/First Inventor Yimin Zhang

Inventor's Signature Yimin Zhang Date June 10, 2003

Citizenship PRC

Residence Beijing, PRC

Mailing Address Xizhi Men XiWaiTaiPingZhuang 7-1-5, Xi Cheng District  
Beijing 100086 PRC

Full Name of Second/Joint Inventor Joe F. Zhou

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Citizenship USA

Residence Beijing PRC

Mailing Address 4008 Capital Mansion, No. 6 Xin Yuan Nan Road, Chao Yang District  
Beijing 10004 PRC

## APPENDIX A

Ramin Aghevli, Reg. No. 43,462; William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; W. Thomas Babbitt, Reg. No. 39,591; Jordan M. Becker, Reg. No. 39,602; Michael A. Bernadico, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 26,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Robert Chang, Reg. No. 48,766; Cory G. Claassen, Reg. No. 50,296; Thomas M. Coester, Reg. No. 39,637; Mimi D. Dao, Reg. No. 45,628; Stephen M. De Klerk, Reg. No. 46,503; Daniel M. De Vos, Reg. No. 37,813; Sanjeet Dutta, Reg. No. 46,145; Tarek N. Fahmi, Reg. No. 41,402; Thomas S. Farnill, Reg. No. 42,632; George L. Fountain, Reg. No. 36,374; Adam Furst, Reg. No. 51,710; Angelo J. Gaz, Reg. No. 45,907; Andre M. Gibbs, Reg. No. 47,593; Steven R. Gillam, Reg. No. 51,734; James Y. Go, Reg. No. 40,621; Jeffery S. Heilesen, Reg. No. 46,765; James A. Henry, Reg. No. 41,064; William E. Hickman, Reg. No. 46,771; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W. Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Aslam A. Jaffery, Reg. No. 61,841; William W. Kidd, Reg. No. 31,772; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; Steven Laut, Reg. No. 47,738; Suk S. Lee, Reg. No. 47,745; Gordon R. Lindeen III, Reg. No. 33,192; Jan C. Little, Reg. No. 41,181; Joseph Lutz, Reg. No. 43,765; Lawrence E. Lycke, Reg. No. 38,540; Michael J. Maille, Reg. No. 36,591; Andre L. Marais, Reg. No. 48,095; Raul D. Martinez, Reg. No. 46,904; Paul A. Mendonsa, Reg. No. 42,879; Jonathan S. Miller, Reg. No. 48,534; Heather M. Mollaur, Reg. No. 50,432; Richard A. Nakashima, Reg. No. 42,023; Thinh V. Nguyen, Reg. No. 42,034; Robert B. O'Rourke, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Philip A. Pedigo, Reg. No. 52,107; Michael D. Plimier, Reg. No. 43,004; Marina G. Portnova, Reg. No. 45,750; Joseph A. Pugh, Reg. No. 52,137; James H. Salter, Reg. No. 35,868; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Saina S. Shamilov, Reg. No. 48,266; Kevin G. Shao, Reg. No. 45,095; Joni D. Stutman-Horn, Reg. No. 42,173; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,383; Edwin H. Taylor, Reg. No. 25,129; Lisa Tom, Reg. No. 52,291; John F. Travis, Reg. No. 43,203; Kerry D. Twaet, Reg. No. 45,959; Mark C. Van Ness, Reg. No. 39,865; Thomas A. Van Zandt, Reg. No. 43,219; Mark R. Vatuone, Reg. No. 53,719; Lester J. Vincent, Reg. No. 31,460; John P. Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; Chui-Kiu Teresa Wong, Reg. No. 48,042; and Norman Zafman, Reg. No. 26,250, my patent attorneys, and Bront Vecchia, Reg. No. 48,011 and Lehua Wang, Reg. No. 48,023, my patent agents, of BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and Alan K. Aldous, Reg. No. 31,905; Shireen I. Bacon, Reg. No. 40,494; R. Edward Brake, Reg. No. 37,784; Ben Burge, Reg. No. 42,372; Glen B. Choi, Reg. No. 43,546; Kenneth Cool, Reg. No. 40,570; Jeffrey S. Draeger, Reg. No. 41,000; Cynthia Thomas Fastz, Reg. No. 39,973; Christopher Gagne, Reg. No. 38,142; Sharmil N. Green, Reg. No. 41,410; Robert Greenberg, Reg. No. 44,133; Bradley Greenwald, Reg. No. 34,341; Jeffrey B. Huler, Reg. No. 41,088; Seth Z. Kelson, Reg. No. 40,670; Peter Lam, Reg. No. 44,866; David C. Lundmark, Reg. No. 42,815; Anthony Martinez, Reg. No. 44,223; Paul Nagy, Reg. No. 37,898; Dennis A. Nicholls, Reg. No. 42,036; Michael Proksch, Reg. No. 43,021; Kevin A. Reif, Reg. No. 36,381; Crystal D. Sayles, Reg. No. 44,318; Kenneth M. Seddon, Reg. No. 43,105; Mark Seeley, Reg. No. 32,299; Ami P. Shah, Reg. No. 42,143; David Simon, Reg. No. 32,756; Steven P. Skabral, Reg. No. 36,279; Howard A. Skalist, Reg. No. 36,008; Paul E. Steiner, Reg. No. 41,328; David Tran, Reg. No. 50,804; Robert G. Winkle, Reg. No. 37,474; Sharon Wong, Reg. No. 37,760; Steven D. Yates, Reg. No. 42,242; Calvin E. Wells, Reg. No. 43,256; Michael Willardson, Reg. No. 50,856 and Charles K. Young, Reg. No. 39,435; my patent attorneys, and George Chen, Reg. No. 50,807; Issac Lin, Reg. No. 50,872; Larry Mennecker, Reg. No. 51,003; Lanny Parker, Reg. No. 44,281; my patent agents, of INTEL CORPORATION, and James R. Theln, Reg. No. 31,710, my patent attorney.

Attorney's Docket No.: 42390.P9658

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Zhang, et al.

Application No. 10/019,879

Filed: 09/28/2000

For: A METHOD AND APPARATUS  
FOR EXTRACTING ENTITY NAMES  
AND THEIR RELATIONS

Examiner: Not yet assigned

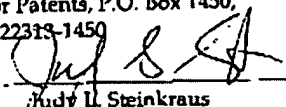
Art Unit: Not yet assigned

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

on 11/03/2003

Date

  
Judy L. Steinkraus

Mail Stop Missing Parts  
Commissioner For Patents  
Alexandria VA 22313-1450

DECLARATION OF JUDY L. STEINKRAUS

I, Judy L. Steinkraus, having personal knowledge of the facts set forth herein, hereby declare as follows:

1. I am secretary to the Attorney of Record and an employee of the law firm of record, Blakely Sokoloff Taylor Zafman LLP, for the above-identified patent application.
2. As filed, the referenced patent application names Yimin Zhang and Joe F. Zhou as inventors.
3. The referenced patent application was filed without a Declaration and Power of Attorney document at the U.S. Patent and Trademark Office on 09/28/2000.

4. I received a Notification of Missing Requirements for the referenced application with a mailed date of 05/01/2003 from the U.S. Patent and Trademark Office.
5. On 5/30/2003 I faxed a message to Gao Chen of Applicants' Beijing office requesting signatures on attached Declaration with confirmation of receipt (copy attached);
6. On 06/03/2003 I received an e-mail message from Gao Chen of Applicants' Beijing office advising me that inventor Zhou no longer works at that office or with the Applicant's organization in any capacity (copy attached).
7. On 09/29/2003 I sent an e-mail to Applicant's Database Group requesting the last known home address for inventor Zhou (copy attached).
8. On 09/29/2003 I sent a letter sent via Registered Mail to Joe F. Zhou at the last known home address (copy attached). Last known address is:

Mr. Joe F. Zhou  
Unit 4008 Capital Mansion  
No. 6 Xin Yuan Nan Road  
Chao Yang District  
Beijing 10004 China

9. On 09/30/2003 I received a response from Applicant's Database Group providing same address as what was on file and already used (copy attached).
10. On 10/18/2003 I received back the 09/29/2003 letter sent via Registered Mail to Joe F. Zhou in the offices of Blakely Sokoloff Taylor Zafman LLP as undeliverable (copy attached).

I believe that I have made diligent effort to reach inventor Joe F. Zhou, who has not provided updated contact information or maintained mail forwarding service with local postal officials.

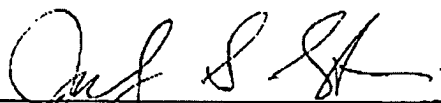
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and

further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Authorization is hereby given to charge our Deposit Account No. 02-2666 for any charges that may be due. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

  
\_\_\_\_\_  
Judy L. Steinkraus

Date: 11/03/2003

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300, x237

**Exhibit C**

**Decision on Petition**



20 JAN 2004  
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. Box 1450  
ALEXANDRIA, VA 22313-1450  
www.uspto.gov

John P. Ward  
Blakely, Sokoloff, Taylor, & Zafman  
12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025-1026

RECEIVED

FEB 02 2004

107-01503  
WJ Corporation  
JH JW

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
LOS ANGELES

In re Application of  
ZHANG et al.  
U.S. Application No. 10/019,879  
PCT No.: PCT/CN00/00293  
Int. Filing Date: 28 September 2000  
Priority Date: None  
Attorney Docket No.: 42390.P9658  
For: A METHOD AND APPARATUS FOR  
EXTRACTING ENTITY NAMES AND  
THEIR RELATIONS

DECISION ON PETITION

This is a decision on applicants' "Response to Notice to File Missing Parts of Application" filed 12 November 2003, which is being treated as a Petition under 37 CFR 1.47(a) to accept the application without the signature of joint-inventor, Joe F. Zhou. The petition fee will be charged to Deposit Account no. 02-2666.

BACKGROUND

On 28 September 2000, applicants filed international application PCT/CN00/00293 which claimed no priority date. A copy of the international application was communicated to the United States Patent and Trademark Office from the International Bureau on 04 April 2002. Pursuant to 37 CFR 1.495, the deadline for payment of the basic national fee in the United States was to expire 30 months from the international filing date, 28 March 2003.

On 27 December 2001, applicants filed a transmittal letter for entry into the national stage in the United States, which accompanied by, inter alia: the requisite basic national fee as required by 35 U.S.C. 371(c)(1) and a copy of the international application.

On 01 May 2003, the United States Designated/Elected Office mailed a Notification of Missing Requirements under 35 U.S.C. 371 (Form PCT/DO/EO/905) indicating that an oath or declaration in compliance with 37 CFR 1.497(a) and (b) must be filed. The notification set a two-month time limit in which to respond.

On 12 November 2003, applicants filed the present petition under 37 CFR 1.47(a) and a four-month extension of time.

FEB -6



### DISCUSSION

A petition under 37 CFR 1.47(a) must be accompanied by: (1) the fee under 37 CFR 1.17, (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and behalf of the nonsigning joint inventor. Items (1), (3), and (4) have been satisfied.

As to item (2), petitioner states that Joe F. Zhou cannot be found or reached after diligent effort. Section 409.03(d) of the Manual of Patent Examining Procedure (M.P.E.P.), **Proof of Unavailability or Refusal**, states, in part:

Where inability to find or reach a nonsigning inventor "after diligent effort" is the reason for filing under 37 CFR 1.47, a statement of facts should be submitted that fully describes the exact facts which are relied on to establish that a diligent effort was made.

The fact that a nonsigning inventor is on vacation or out of town and is therefore temporarily unavailable to sign the declaration is not an acceptable reason for filing under 37 CFR 1.47.

The statement of facts must be signed, where at all possible, by a person having firsthand knowledge of the facts recited therein. Statements based on hearsay will not normally be accepted. Copies of documentary evidence such as internet searches, certified mail return receipts, cover letters of instructions, telegrams, that support a finding that the nonsigning inventor could not be found or reached should be made part of the statement. The steps taken to locate the whereabouts of the nonsigning inventor should be included statement of facts. It is important that the statement contain facts as opposed to conclusions.

A review of the present petition reveals that petitioner has not provided an acceptable showing that a diligent effort was made to locate the nonsigning inventor, Joe F. Zhou. Petitioner has provided a copy of the cover letter addressed to Mr. Zhou mailed 29 September 2003 by Registered Mail. The Registered Mail label, provided by the petitioner, is stamped by the China Postal Service as "removed." However, all other attempts to locate the nonsigning have been conducted by Applicant's Database Group and cannot be considered as firsthand knowledge. As stated above, copies of documentary evidence such as internet searches, certified mail return receipt, cover letter of instructions, telegrams, etc., should be supplied by a person having firsthand knowledge of the facts. The single mailing of the papers to the nonsigning inventor's last known address does not constitute a "diligent effort" to locate the inventor.

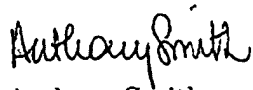
For the reasons stated above, it would not be appropriate to accept the application without the signature of Joe F. Zhou under 37 CFR 1.47(a) at this time.

**CONCLUSION**

The petition under 37 CFR 1.47(a) is **DISMISSED** without prejudice.

✓ If reconsideration on the merits of this petition is desired, a proper response must be filed within **TWO (2) MONTH** from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.47(a)." No additional petition fee is required.

Any further correspondence with respect to this matter should be addressed to: Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Anthony Smith  
Attorney-Advisor  
Office of PCT Legal Administration  
Tel.: 703-308-6314  
Facsimile: 703-308-6459

**Exhibit D**

**Notification of Abandonment**



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,879	Yimin Zhang	42390.P9658

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/CN00/00293

I.A. FILING DATE	PRIORITY DATE
------------------	---------------

09/28/2000

Mr John P Ward  
Blakely Sokoloff Taylor & Zafmann  
12400 Wilshire Boulevard  
7th Floor  
Los Angeles, CA 90025-1030

CONFIRMATION NO. 8076

371  
ABANDONMENT/TERMINATION  
LETTER

OC000000014108049

Date Mailed: 10/18/2004

NOTIFICATION OF ABANDONMENT

The United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495) has made the following determination:

- Applicant has failed to respond to the notification of MISSING REQUIREMENTS (Form PCT/DO/EO/905), mailed 05/01/2003 within the time period set therein.

Therefore, the above identified application failed to meet the requirements of 35 U.S.C. 371 and 37 CFR 1.495, and is ABANDONED AS TO THE UNITED STATES OF AMERICA.

CHARITTA A BURT

Telephone: (703) 305-3734

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/908 (371 Abandonment Notice)

**Exhibit E**

**Petition for Revival and Petition Under 37 CFR §1.47(a)**

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b),  
37 CFR 1.155(c), OR 37 CFR 1.316(c)**

Docket Number: 42390.P9658

First named inventor: Zhang, et al.

Application No.: 10/019,879

Group Art Unit: Not yet assigned

Priority PCT Application No. PCT/CN0000293

International Filing Date: 09/28/2000

Examiner: Not yet assigned

**Title: A METHOD AND APPARATUS FOR EXTRACTING ENTITY NAMES AND THEIR  
RELATIONS**

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

The above-identified application became abandoned for failure to file a timely and proper response to the Request for Reconsideration mailed on 01/29/2004.

**APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION**

NOTE: A grantable petition requires the following items:

- (1) Petition fee
- (2) Proposed response and/or issue fee
- (3) Verified statement that the abandonment was unintentional

**1. Petition fees**

- ☐ Small-entity fee \$\_\_\_\_\_ (37 CFR 1.17(m))  
☐ Small-entity statement enclosed herewith.  
☐ Small-entity statement previously filed.  
☒ Other than small-entity fees of \$1,500.00 (37 CFR 1.17(m)) and \$200.00 (37 CFR 1.47a).

**2. Proposed response and/or fee**

A. The proposed response and fees to the above-noted Office action in the form of Response to Notification of Missing Requirements and Petition Under 37 CFR 1.47a:

- ☐ has been filed previously on \_.  
☒ is enclosed herewith.

B. The issue fee of \$ \_\_\_\_\_

- ☐ has been paid previously on \_.  
☐ is enclosed herewith.

Burden Hour Statement: This form is estimated to take 1 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, D. C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

3. Verified statement

The delay caused by the abandonment of the application was unintentional.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

\_\_\_\_\_  
Date  
BLAKELY SOKOLOFF TAYLOR ZAFMAN LLP  
12400 Wilshire Boulevard, Seventh Floor  
Los Angeles CA 90025  
Telephone: (408) 720-8300, x 237

\_\_\_\_\_  
John P. Ward, Reg. No. 40,216

Enclosures: ☒ Response to Notice to File Missing Parts  
☒ Petition Under 1.147 (a) with attachments  
☒ Fee Transmittal  
☒ Checks for Petition Fee amounts of \$1,500.00 and \$200.00

By completing the Certificate of Mailing, below, the date mailed will be considered the date this correspondence is filed.

**CERTIFICATE OF EXPRESS MAILING [37 CFR 1.8(a)]**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail Service, Label no. EV 567493442 US, in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

\_\_\_\_\_  
Date

\_\_\_\_\_  
Judy L. Steinkraus

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Zhang, et al.

Application No. 10/019,879

Int. Filing Date: 09/28/2000

For: A METHOD AND APPARATUS  
FOR EXTRACTING ENTITY NAMES  
AND THEIR RELATIONS

Examiner: Not yet assigned

Art Unit: Not yet assigned

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

on \_\_\_\_\_  
Date Judy L. Steinkraus

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

PETITION UNDER 37 C.F.R. § 1.47(a)

Sir:

Pursuant to 37 C.F.R. § 1.47(a), it is hereby requested that the present application be made on behalf of non-signing inventor Joe F. Zhou.

Joe F. Zhou is named as joint inventor for the above-identified patent application. Inventor Joe F. Zhou cannot be found or reached after bona fide, diligent effort. Enclosed is a Declaration by Judy L. Steinkraus dated 01/21/2005 setting forth additional facts regarding the bona fide, diligent efforts made to try to find or reach inventor Joe F. Zhou. The Declaration by Judy L. Steinkraus of this date states that inventor Joe F. Zhou has not been found or reached.

A copy of the Declaration and Power of Attorney for this utility patent application is enclosed. On that Declaration and Power of Attorney, the name, citizenship, and last known mailing address is set forth for inventor Joe F. Zhou, who cannot be found or reached after diligent effort.



Accompanying this Petition is a check in the amount of \$200.00 for the fee under 37 C.F.R. § 17(h) for filing by other than all the inventors.

The last known address which the letter was sent to is as follows:

Mr. Joe F. Zhou  
Unit 4008 Capital Mansion  
No. 6 Xin Yuan Nan Road  
Chao Yang District  
Beijing 10004 China

The last e-mail addresses communications attempts were sent to are as follows:

[minchu@microsoft.com](mailto:minchu@microsoft.com)  
[mingzhou@microsoft.com](mailto:mingzhou@microsoft.com)  
[zxauto@hotmail.com](mailto:zxauto@hotmail.com)  
[linguist@linguistlist.org](mailto:linguist@linguistlist.org)  
[zhou.susu@zte.com.cn](mailto:zhou.susu@zte.com.cn)  
[Microsoftcom\\_contactus@css.one.microst.com](mailto:Microsoftcom_contactus@css.one.microst.com)  
[Joe.f.zhou@intel.com](mailto:Joe.f.zhou@intel.com)  
[Yimin.zhang@intel.com](mailto:Yimin.zhang@intel.com)

In view of the Petition, the Declaration of Judy L. Steinkraus dated 01/21/2005, and the accompanying payment for the fee under 37 C.F.R. § 17(h), it is hereby requested that this Petition under 37 C.F.R. § 47(a) be granted and that the present application may be made on behalf of the non-signing inventor Joe F. Zhou.

If any additional fee is required not covered by any check submitted, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: \_\_\_\_\_

\_\_\_\_\_  
John P. Ward  
Reg. No. 40,261

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Zhang, et al.

Examiner: Not yet assigned

Application No. 10/019,879

Art Unit: Not yet assigned

Priority PCT App. No. CT/CN0000293

International Filing Date: 09/28/2000

For: A METHOD AND APPARATUS  
FOR EXTRACTING ENTITY NAMES  
AND THEIR RELATIONS

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

on \_\_\_\_\_

Date Judy L. Steinkraus

Mail Stop Petition  
Commissioner For Patents  
Alexandria VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS OF  
APPLICATION (FILING DATE GRANTED)

Sir:

In response to the Request for Reconsideration mailed 01/29/2004, please find enclosed:

- (1) Petition to Revive;
- (2) Petition Under 37 CFR 1.47 (a);
- (3) Fee Transmittal;
- (4) Declaration signed 01/21/2005 by Judy Steinkraus of bona fide diligent attempts to reach inventor Joe F. Zhou for signature and copies of additional documents affirming attempts;
- (5) checks for the amounts of \$1,500.00 and \$200.00 for the Petitions;

and

(6) a return receipt postcard.

Authorization is hereby given to charge our Deposit Account No. 02-2666 for any deficiencies in charges that may be due. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date:

\_\_\_\_\_  
John P. Ward, Reg. No. 40,216

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300, x237

**FEE TRANSMITTAL FOR FY 2005**

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818)

TOTAL AMOUNT OF PAYMENT (\$) 1,700.00

Complete if Known:

Application No. 10/019,879Filing Date 09/28/2000First Named Inventor Zhang, et al.Examiner Name Not yet assignedArt Unit Not yet assignedAttorney Docket No. 42390.P9658

Applicant claims small entity status. See 37 CFR 1.27.

**METHOD OF PAYMENT (check all that apply)**☒ Checks ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify)☐ Deposit AccountDeposit Account Number : 02-2666

Deposit Account Name: \_\_\_\_\_

☒ The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

☒ Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.☐ Charge fee(s) indicated below except for the filing fee☒ Credit any overpayments.☒ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Large Entity		Small Entity		Fee Description		Fees Paid (\$)
Code	Fee (\$)	Code	Fee (\$)			
1011	300	2011	150	Utility application filing fee	1,000/500	_____
1111	500	2111	250	Utility search fee		_____
1311	200	2311	100	Utility examination fee		_____
1012	200	2012	100	Design application filing fee	430/215	_____
1112	100	2112	50	Design search fee		_____
1312	130	2312	65	Design examination fee		_____
1013	200	2013	100	Plant filing fee	660/330	_____
1113	300	2113	150	Plant search fee		_____
1313	160	2313	80	Plant examination fee		_____
1004	300	2004	150	Reissue filing fee	1,400/700	_____
1114	500	2114	250	Reissue search fee		_____
1314	600	2314	300	Reissue examination fee		_____
1005	200	2005	100	Provisional application filing fee		_____
SUBTOTAL (1) \$						_____

**2. EXCESS CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fees Paid (\$)</u>
Total Claims _____ - 20 or HP = _____	X _____	= _____	
HP = highest number of total claims paid for, if greater than 20			
Independent Claims _____ - 3 or HP = _____	X _____	= _____	
HP = highest number of independent claims paid for, if greater than 3			
Multiple Dependent Claims _____		= _____	

<u>Large Entity</u>		<u>Small Entity</u>		
Code	Fee (\$)	Code	Fee (\$)	<u>Fee Description</u>
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

SUBTOTAL (2) \$ \_\_\_\_\_

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each add'l 50 or fraction thereof</u>	<u>Fee from below</u>	<u>Fees paid (\$)</u>
_____	- 100 = _____	/ 50 = _____ (round up to whole number)	X _____	_____

<u>Large Entity</u>		<u>Small Entity</u>		
Code	Fee (\$)	Code	Fee (\$)	<u>Fee Description: Application size fee for each additional group of 50 sheets beyond initial 100 sheets (count spec &amp; drawings except sequences &amp; program listings):</u>
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ \_\_\_\_\_

**FEE CALCULATION (continued)****4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)				Fees Paid (\$)	
<u>Large Entity</u>		<u>Small Entity</u>			
Code	Fee (\$)	Code	Fee (\$)	Fee Description	
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	1,500.00
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1100	2503	550	Plant issue fee	
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	200.00
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	130	2814	65	Statutory Disclaimer	
1810	790	2810	395	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(l) (except provisionals)	
1454	1,370	1454	1,370	Acceptance of unintentionally delayed claim for priority	
Other fee (specify) _____					
Other fee (specify) _____					

SUBTOTAL (4) \$1,700.00

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: John P. Ward

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Reg. Number: 40,216Telephone Number: 408-720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Zhang, et al.

Application No. 10/019,879

Priority PCT App.: PCT/CN0000293

Int. Filing Date: 09/28/2000

For: A METHOD AND APPARATUS  
FOR EXTRACTING ENTITY NAMES  
AND THEIR RELATIONS

Examiner: Not yet assigned

Art Unit: Not yet assigned

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

on \_\_\_\_\_

Date

Judy L. Steinkraus

Mail Stop Petition  
Commissioner For Patents  
Alexandria VA 22313-1450

DECLARATION OF JUDY L. STEINKRAUS

I, Judy L. Steinkraus, having personal knowledge of the facts set forth herein, hereby declare as follows:

1. I am a secretary of the Attorney of Record and an employee of the law firm of record, Blakely Sokoloff Taylor Zafman LLP, for the above-identified patent application.
2. As filed, the referenced patent application names Yimin Zhang and Joe F. Zhou as inventors.
3. The PCT priority application of the referenced patent application was filed in the PCT on 09/28/2000 and was assigned application no. PCT/CN0000293.

4. The PCT priority application was filed with a duly executed Power of Attorney signed by inventors Yimin Zhang and Joe F. Zhou dated 09/18/2000 bearing the seal of the PCT Application's Patent Agent, CCPIT Patent and Trademark Law Office (copy attached).
5. The referenced patent application was filed without a Declaration and Power of Attorney document for national phase at the U.S. Patent and Trademark Office on 01/27/2002.
6. I received a Notification of Missing Requirements for the referenced application with a mailed date of 05/01/2003 from the U.S. Patent and Trademark Office.
7. On 5/30/2003 I faxed a message to Gao Chen of Applicants' Beijing office requesting signatures on attached Declaration with confirmation of receipt;
8. On 06/03/2003 I received an e-mail message from Gao Chen of Applicants' Beijing office advising me that inventor Zhou no longer works at that office or with the Applicant's organization in any capacity.
9. On 09/29/2003 I sent an e-mail to Applicant's Database Group requesting the last known home address for inventor Zhou.
10. On 09/29/2003 I sent a letter sent via Registered Mail to Joe F. Zhou at the last known home address. Last known address is:  
  
Mr. Joe F. Zhou  
Unit 4008 Capital Mansion  
No. 6 Xin Yuan Nan Road  
Chao Yang District  
Beijing 10004 China
11. On 09/30/2003 I received a response from Applicant's Database Group providing same address as what was on file and already used.
12. On 10/18/2003 I received back the 09/29/2003 letter sent via Registered Mail to Joe F. Zhou in the offices of Blakely Sokoloff Taylor Zafman LLP as undeliverable.



13. On 01/29/2004 my firm received a Request for Reconsideration issued by the PCT Division of the USPTO.

14. On 03/27/2004 I sent an e-mail to inventor Yimin Zhang asking for assistance in contacting Joe F. Zhou (copy attached). No response has been received to date.

15. On 03/27/2004 I sent an e-mail to Joe F. Zhou's last known e-mail address requesting signature (copy attached). No response has been received to date. A Delivery Failure Report was received the same day (copy attached).

16. On 03/27/2004 after searching the internet for the name Joe F. Zhou, I sent an e-mail to a Linguist site he was possibly connected to (copy attached).

17. On 03/27/2004 I sent an e-mail to Microsoft since a J. Zhou was listed (copy attached).

18. On 03/28/2004 I received a confirmation of receipt e-mail from Microsoft (copy attached).

19. On 03/28/2004 I sent an e-mail to zhou.susu@zte.com.cn in hopes of the recipient being the inventor or a relation (copy attached).

20. On 03/29/2004 I received an e-mail from Sarah Murray, the Managing Editor at Linguist.com (copy attached). I searched the site's Person directory as recommended and Joe F. Zhou was not found.

21. On 03/29/2004 I received an e-mail from Ming Zhou at Microsoft with a suggested means of contacting Joe F. Zhou (copy attached). My search for the ZhongXing company did not produce any results and I have not heard from Min Chu to date.

22. On 10/18/2004 my firm received a Notice of Abandonment from the USPTO.

23. On 01/19/2005 I sent an e-mail to Min Chu of Microsoft advising that my request for assistance is still active (copy attached). No reply has been received.

24. On 01/19/2005 I sent an e-mail to a ZhongXing Company listed on the internet asking for Joe F. Zhou (copy attached). No reply has been received.

25. On 01/20/2005 I sent via Federal Express International Airbill No. 8265 2116 7647 a letter requesting assistance with contacting Joe F. Zhou for signature accompanied by a Declaration, Assignment, a copy of the 09/29/04 letter, and a copy of the referenced application as filed to Yonghong Yan, former supervisor of the inventors who in 2000 had success securing signatures from them for the PCT Power of Attorney (copy attached).

A copy of items referenced in listings 1 – 10 were previously provided in the November 2003 Declaration submitted to the Patent Office. I believe that I have made a bona fide, diligent effort to reach inventor Joe F. Zhou, who has not provided updated contact information or maintained mail forwarding service with local postal officials, nor with his former employer or former colleagues.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Authorization is hereby given to charge our Deposit Account No. 02-2666 for any charges that may be due. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date:

\_\_\_\_\_  
Judy L. Steinkraus

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

**Exhibit F**

**Fees for Petitions**

Commercial Checking statement  
February 1, 2005 to February 28, 2005

## Commercial Checking account details: 1890673666

### Electronic deposits this statement period

Date	Amount (\$)	Activity	Reference numbers	
			Customer	Bank
Feb 02	100,000.00	Wire # 009189 Org Blakely Sokolo Fed # 001304		9485000185
Feb 08	125,000.00	Wire # 004903 Org Blakely Sokolo Fed # 000845		9485000142
Feb 16	200,000.00	Wire # 005086 Org Blakely Sokolo Fed # 000806		9485000168
Feb 17	9,000.00	Adjustment Dept Adj Dr/cr 021705 0465-15feb05		9488013732
Feb 28	75,000.00	Wire # 012107 Org Blakely Sokolo Fed # 001789		9485000221

Total Electronic Deposits: \$509,000.00

Total number of Electronic Deposits: 5

### Checks paid this statement period

\* This symbol indicates a break in check number sequence

Check number	Amount (\$)	Date paid	Bank reference number	Check number	Amount (\$)	Date paid	Bank reference number
69667	-120.00	Feb 03	0614039450	*70088	-1,730.00	Feb 16	0653016649
*69721	-180.00	Feb 09	0613042402	70089	-790.00	Feb 02	0613068770
*69866	-40.00	Feb 03	0614039448	70090	-130.00	Feb 02	0613068760
*69906	-130.00	Feb 07	0611061989	70091	-130.00	Feb 02	0613068759
*69911	-40.00	Feb 03	0614039447	70092	-790.00	Feb 02	0613068755
*69917	-1,000.00	Feb 02	0673027966	70093	-500.00	Feb 11	0655011388
*69976	-40.00	Feb 04	0615036110	70094	-130.00	Feb 02	0613068758
*69987	-2,360.00	Feb 01	0672037749	70095	-500.00	Feb 07	0611082778
69988	-40.00	Feb 07	0611061990	70096	-1,450.00	Feb 01	0672037751
*70010	-2,150.00	Feb 01	0672037739	*70102	-40.00	Feb 04	0615036105
*70018	-4,190.00	Feb 01	0672037740	70103	-790.00	Feb 03	0614039440
70019	-3,990.00	Feb 01	0672037738	70104	-450.00	Feb 03	0614039441
*70021	-1,550.00	Feb 01	0672037737	70105	-400.00	Feb 03	0614039444
70022	-1,500.00	Feb 08	0652030490	70106	-50.00	Feb 03	0614039446
70023	-200.00	Feb 09	0613042388	*70108	-130.00	Feb 04	0615036115
*70026	-1,800.00	Feb 02	0673027965	70109	-120.00	Feb 04	0615036123
70027	-100.00	Feb 02	0613068771	70110	-1,700.00	Feb 02	0673027961
*70035	-3,394.00	Feb 07	0611094592	70111	-120.00	Feb 03	0614039443
*70039	-65.00	Feb 24	0674026786	70112	-500.00	Feb 03	0614039454
*70041	-2,300.00	Feb 01	0672037748	70113	-40.00	Feb 04	0615036107
70042	-40.00	Feb 07	0611061986	70114	-40.00	Feb 04	0615036108
*70048	-1,400.00	Feb 03	0674013146	70115	-40.00	Feb 04	0615036106
*70051	-1,700.00	Feb 04	0675028101	70116	-40.00	Feb 04	0615036109
70052	-1,700.00	Feb 04	0675028066	70117	-450.00	Feb 03	0614039455
70053	-1,700.00	Feb 04	0675028065	70118	-500.00	Feb 04	0615036121
70054	-1,700.00	Feb 04	0675028100	70119	-500.00	Feb 04	0615036112
70055	-1,700.00	Feb 03	0674013154	70120	-40.00	Feb 09	0613042406
70056	-1,700.00	Feb 03	0674013153	70121	-120.00	Feb 04	0615036122
70057	-1,700.00	Feb 03	0674013156	*70123	-1,700.00	Feb 02	0673027969
70058	-1,700.00	Feb 03	0674013155	70124	-790.00	Feb 03	0614039442
70059	-1,700.00	Feb 03	0674013144	70125	-450.00	Feb 07	0611061975
70060	-1,700.00	Feb 03	0674013148	*70127	-130.00	Feb 10	0614032800
70061	-1,700.00	Feb 03	0674013142	70128	-40.00	Feb 10	0614032799
*70075	-1,800.00	Feb 01	0672037736	70129	-2,160.00	Feb 09	0653013202
70076	-1,000.00	Feb 01	0672037747	70130	-4,414.00	Feb 07	0611092794
*70079	-395.00	Feb 02	0613068756	*70133	-500.00	Feb 04	0615036119
70080	-6,790.00	Feb 01	0672037742	70134	-850.00	Feb 03	0614039449
70081	-100.00	Feb 02	0613068764	70135	-130.00	Feb 04	0615036127
*70083	-790.00	Feb 02	0613068757	70136	-130.00	Feb 10	0614032801
70084	-100.00	Feb 03	0614039452	*70138	-2,160.00	Feb 09	0653013203
*70086	-1,000.00	Feb 01	0672037750	70139	-450.00	Feb 04	0615036113

Comerica

1095

5022

STATEMENT OF ACCOUNT

80852

BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BLVD BLDG 700  
LOS ANGELES CA 90025-1026

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Commercial Checking  
statement**

February 1, 2005 to February 28, 2005

Account number ~~XXXXXXXXXX~~

Previous account number ~~XXXXXXXXXX~~

Number of items enclosed: 440

**Account summary**

Beginning balance on February 1, 2005	\$43,445.52
<b>Plus deposits</b>	
Electronic deposits	\$509,000.00
<b>Less withdrawals</b>	
Checks	-\$416,522.66
Ending balance on February 28, 2005	\$135,922.86

**To contact us**

Call  
(800) 888-3595

Visit our web site  
[www.comerica.com](http://www.comerica.com)

Write to us  
COMERICA BANK  
1299 OAKMEAD PARKWAY  
SUNNYVALE CA 94086-4352

**Important information**

Now available - Statements on Comerica NetVision(R), an online reporting system. Statements eliminates the need to receive and retain paper copies and lets you sort and download statement activity to your reconciliation software. You can also view images of paid checks and deposit tickets. For more information, please contact your Account Officer or Treasury Management Representative.

**Thank you**

Thank you for being a Comerica customer. We value the trust and confidence that you continue to place in us.

70022

70022

January 19, 2005

ONE THOUSAND FIVE HUNDRED & NO/100  
G52030490 02-03-05 309 0236 00  
DOLLARS \$ 1,500.00

Director of the United States  
Patent & Trademark Office

*H. M. Mott*

0000150000

70023

70023

January 19, 2005

TWO HUNDRED & NO/100  
613042388 02-09-05 019 0573 00  
DOLLARS \$ 200.00

Director of the United States  
Patent & Trademark Office

*H. M. Mott*

0000020000

ENDORSE HERE  
PATENT AND TRADEMARK OFFICE  
13-10-0001  
02-04-2005  
FOR CREDIT TO THE  
U.S. TREASURY

ENDORSE HERE  
PATENT AND TRADEMARK OFFICE  
13-10-0001  
02-04-2005  
FOR CREDIT TO THE  
U.S. TREASURY

02/08/05 LAJCA  
12260061 29  
E3300 \$44  
7260123105

COMERICA  
\*PROCC LA  
0520000490 02-08-05 809 0236 00

P03100000114  
MACOMIA WA SUC071 5897  
PHILA, PA 02072005 1596  
001120466

12092005  
121000374 360  
INT-900A TNC-100000 10-10-04  
0110735334

COMERICA  
\*PROCESSED SJ  
613042368 02-09-05 019 0573 00

P03100000114  
MACOMIA WA SUC071 5897  
PHILA, PA 02072005 1596  
001120466

**Exhibit G**  
**Request for Consideration of Previously Filed Petition to Revive Application and**  
**Petition Under 37 CFR § 1.47(a)**



Our Docket No: 42390P9658

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Zhang, et al.	)	Examiner: Not yet assigned
	)	
Application No: 10/019,879	)	Art Unit: Not yet assigned
	)	
Filed: September 28, 2000	)	Confirmation No.: 8076
	)	
For: A METHOD AND APPARATUS FOR	)	
EXTRACTING ENTITY NAMES AND	)	
THEIR RELATIONS	)	
	)	

REQUEST FOR CONSIDERATION OF PREVIOUSLY FILED  
PETITION TO REVIVE APPLICATION AND  
PETITION UNDER 37 CFR §1.47(a)

Mail Stop: PETITION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir or Madam:

The Applicant hereby petitions for consideration of the Petition for Revival and  
Petition Under 37 CFR §1.47(a), which were filed on January 21, 2005.

EFS Filed March 12, 2008

## REMARKS

The Applicant respectfully requests consideration and approval of the Petition to Revive and the Petition Under 1.47(a) in this matter, as filed on January 21, 2005. It appears from the records that the Patent Office has misplaced the filing made on that day, but did receive the payments for the petition fees that were filed contemporaneously with the petitions. The Applicant is hereby resubmitting the filing, and requesting consideration of such filing.

In support of this request, the Applicant submits the following:

(1) **Filing of Application** – The present application was filed with the Patent Office on December 27, 2001. The application was nationalized from International Application No. PCT/CN00/00293, filed September 28, 2000.

(2) **Missing Parts** – On May 1, 2003 a Notification of Missing Requirements was mailed in this matter. A copy of the Notification is attached hereto as Exhibit A.

(3) **Response to Notification** – On November 3, 2003, the Applicant submitted a response to the Notification, which is attached hereto as Exhibit B. The response included a Response to Notice to File Missing Parts of Application, the declaration of inventor Yimin Zhang, and a petition of Judy Steinkraus regarding diligent efforts to contact inventor Joe F. Zhou.

(4) **Decision on Petition** – On January 29, 2004, the Office of PCT Legal Administration issued a Decision on Petition, in which the Office determined that an acceptable showing that a diligent effort was made to locate the non-signing inventor Joe F. Zhou had not been made. A copy of the Decision on Petition is attached hereto as Exhibit C.

(5) **Notification of Abandonment** – A Notification of Abandonment was issued on October 18, 2004. A copy of the notification is attached hereto as Exhibit D.

(6) **Petition for Revival** – In response to the Decision on Petition and the Notice of Abandonment, a Petition for Revival was filed on January 21, 2005. Together with the Petition for Revival was filed a Petition under 37 CFR §1.47(a) requesting that the application be made on behalf of non-signing inventor Joe F. Zhou, a Response to Notification of Missing Requirements, and a Declaration of Judy L. Steinkraus that detailed additional diligent efforts to locate Joe F. Zhou. After diligent efforts, Applicant have been unable to locate inventor Joe F Zhou. A copy of the filing is attached hereto as Exhibit E. (Exhibit E includes unsigned copies of the filed documents. At the time of the filing the practice of the law firm representing Applicant was to retain unsigned copies of filed documents.)

Note: Because of the passage of time between the filing of the petitions in January 2005 and today, Applicant has been unable to locate certain of the attachments to the filing. However, it is submitted that these constitute cumulative evidence, and are not needed to establish diligent efforts to contact the missing inventor. The missing attachments are the messages described in paragraphs 18 (confirmation of e-mail receipt), 24 (message to ZhongXing Company), and 25 (letter sent to Yonghong Yan).

(7) **Fees for Petitions** – The Petition for Revival and Petition under 37 CFR §1.47(a) were accompanied by a fee of \$1500.00 for the Petition for Revival and an additional \$200 fee for petitions to the Commissioner. The records of the law firm of the Applicant indicate that the checks for such fees were paid. Attached hereto as Exhibit F is the record of the commercial checking account, the record showing payment of check

70022 for \$1500.00 on February 8, 2005 and payment of check 70023 for \$200.00 on February 9, 2005. Also included in Exhibit F are copies of the cancelled checks to the Director of the United States Patent & Trademark Office.

**(8) Proof of Receipt of Filing** – The Applicant hereby submits that the proof of payment of the fees provided in Exhibit F constitutes proof of receipt of the petitions by the Patent Office.

**(9) Lack of Response to Petitions** – The Applicant has become aware that the Patent Office has not acted on the filed petitions. Applicant's representative attempted to review the file for this case electronically, but was told that the file had not been scanned for electronic access, and that the Patent Office could not review the file in response to an inquiry regarding the petitions. Applicant arranged for a personal review of the file for this case at the Patent Office, and was informed that, despite the payment of the fees for the petitions, the filings provided in Exhibit E were not present in the Patent Office file.

**(10) Request for Consideration of Petitions** – The Applicant hereby respectfully requests consideration of the previously filed petitions, attached hereto as Exhibit E. Applicant again petitions for revival of the application, and that the present application be made on behalf of non-signing inventor Joe. F. Zhou.

### **Conclusion**

Applicant respectfully requests revival of the application, and requests commencement of examination of the application.

### **Invitation for a Telephone Interview**

The Examiner is requested to call the undersigned at (503) 439-8778 if there remains any issue with allowance of the case.

### **Request for an Extension of Time if Needed**

The Applicant respectfully petitions for an extension of time to respond to the outstanding Office Action pursuant to 37 C.F.R. § 1.136(a) should one be needed. Please charge any fee to our Deposit Account No. 02-2666.

### **Fees – Charge our Deposit Account**

Applicant submits that all necessary fees have already been paid in this matter, the petition fees having been paid in February of 2005. However, please charge any shortage to our Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 12, 2008

/Mark C. Van Ness/

Mark C. Van Ness

Reg. No. 39,865

1279 Oakmead Parkway  
Sunnyvale, CA 94085-4040

(503) 439-8778

**Exhibit H**

**Decision on Petition**



23 MAY 2008

DEC ON PET

MCU

UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

John P. Ward  
Blakely, Sokoloff, Taylor, & Zafman  
12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, California 90025-1026

RECEIVED

MAY 27 2008

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
LOS ANGELES

In re Application of  
ZHANG et al.  
U.S. Application No. 10/019,879  
PCT No.: PCT/CN00/00293  
Int. Filing Date: 28 September 2000  
Priority Date: None  
Attorney Docket No.: 42390.P9658  
For: A METHOD AND APPARATUS FOR  
EXTRACTING ENTITY NAMES AND  
THEIR RELATIONS

DECISION ON PETITION

This decision is issued in response to applicants' "Request for Consideration of Previously Filed Petition to Revive Application and Petition under 37 CFR 1.47(a)" filed 12 March 2008.

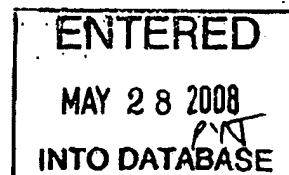
### BACKGROUND

On 28 September 2000, applicants filed international application PCT/CN00/00293 which claimed no priority date. A copy of the international application was communicated to the United States Patent and Trademark Office from the International Bureau on 04 April 2002. Pursuant to 37 CFR 1.495, the deadline for payment of the basic national fee in the United States was to expire 30 months from the international filing date, 28 March 2003.

On 27 December 2001, applicants filed a transmittal letter for entry into the national stage in the United States, which accompanied by, inter alia: the requisite basic national fee as required by 35 U.S.C. 371(c)(1) and a copy of the international application.

On 01 May 2003, the United States Designated/Elected Office mailed a Notification of Missing Requirements under 35 U.S.C. 371 (Form PCT/DO/EO/905) indicating that an oath or declaration in compliance with 37 CFR 1.497(a) and (b) must be filed. The notification set a two-month time limit in which to respond.

On 12 November 2003, applicants filed a response to the Notification Of Missing Requirements which included a petition under 37 CFR 1.47(a); payment of the required surcharge; and a four-month extension of time. In a decision dated 20 January 2004, applicants' petition under 37 CFR 1.47(a) was dismissed without prejudice.



Entered into FIP  
By: PN

On 18 October 2004, the United States Designated/Elected Office (DO/EO/US) mailed a Notification of Abandonment under 35 U.S.C. 371 (Form PCT/DO/EO/909) indicating that the application was abandoned for failure to respond to the Notification of Missing Requirements mailed 01 May 2003. (The notification should have indicated that the application was abandoned for failure to respond to the Decision mailed 20 January 2004.)

On 12 March 2008, applicants filed "Request for Consideration of Previously Filed Petition to Revive Application and Petition under 37 CFR 1.47(a)."

### DISCUSSION

The above-identified application was abandoned for failure to respond to the Decision on Petition mailed 20 January 2004.

Applicants state in the present petition that a response to the Notification of Abandonment was mailed to the United States Patent and Trademark Office on 21 January 2005. A review of the application file reveals that the response is not located therein. The best evidence of what was actually received by the Office on a particular date is a copy of a returned date stamped postcard receipt that was filed with papers in question and contains a specific itemization of all items being submitted. (See Manual of Patent Examining Procedure § 503.) In the present instance, applicants have not provided any evidence, such as a date stamped return receipt post card, that evidences receipt by the Office of the response (Petition under 37 CFR 1.137(b) and 1.47(a)) filed on 21 January 2005. Applicants state that "the proof of payment of the fees provided in Exhibit F constitutes proof of receipt of the petitions by the Patent Office." However, the fee payment only provides proof that a fee payment was made, not the filing of a petition.

It is noted that the 12 March 2008 submission is accompanied by the petitions (Exhibit E) allegedly filed on 21 January 2005. Exhibit E contains an unsigned copy of the Petition to Revive; the Petition under 37 CFR 1.47(a); and the non-stamped postcard receipt. These papers bear a 37 CFR 1.8 certification dated 21 January 2005. However, the transmittal letter to which it was attached is unsigned and does not include evidence (i.e., a postcard receipt) establishing USPTO receipt of the original "Petition" document. Accordingly, the 37 CFR 1.8 certification contained in the copy of the "Response to Notification of Missing Requirements of Application" attached to the unsigned document cannot be considered.

### CONCLUSION

For the reasons above, the petition is DISMISSED without prejudice.

The application remains ABANDONED with regards to national stage processing in the United States.



If reconsideration on the merits of this petition is desired, a proper response must be filed within **TWO (2) MONTHS** from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Petition Under 37 CFR 1.137(b)." No additional petition fee is required. Extensions of time may be obtained under 37 CFR 1.136(a).

Any further correspondence with respect to this matter should be addressed to: Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



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